

COMPLIANCE REVIEW - CLIENT SPECIFIC

Cornerstone Revolutions
Phone: 831.477.7047

Client	State	Inception Date	Last Privacy Policy	Last ADV Offering	Last Client Review	Last Policy Review	Termination Date
Abernathy, Charlie & Mary Jean	Texas	12/08/98	03/12/10	05/17/10	05/24/10	09/06/11	
Anderson, John	Oregon	11/30/98	06/30/11	06/30/11	08/10/11	06/30/11	
Apple Financial Trust	Oregon	01/04/08	06/30/11	06/30/11	06/30/11	06/30/11	
Bass, John	Oregon	04/04/05	03/18/10	06/30/11	06/30/11	12/14/10	
Branta, Liz	Texas	05/14/07	06/30/11	06/30/11	06/30/11	06/30/11	
Conley, Adrian	Oregon	01/01/10	06/30/11	06/30/11	06/30/11	06/30/11	
Conley, Laura	Oregon	07/12/00	01/21/10	03/31/11	05/05/11	06/14/11	
Doe, John & Jane	Oregon	12/23/04	04/21/10	03/31/11	06/30/11	12/31/10	
Force, Rachel	Oklahoma	08/21/06	08/29/06	12/31/10	12/31/10	12/31/10	03/24/11
Johnson, Karen	Oregon	02/10/00	02/03/09	06/30/11	06/30/11	04/12/11	
Johnstone, Craig	Oklahoma	05/14/07	12/31/07	06/30/07	06/30/07	12/31/07	12/01/08
Monsy, Edward	Oklahoma	02/10/00	09/30/11	09/30/11	09/30/11	09/30/11	12/31/07
Morgan, Dave	Pennsylvania	06/15/06	05/14/07	05/14/07	05/14/07	09/30/11	03/24/11
Rose, Karen	Oregon	01/01/11	12/31/10	06/30/11	06/30/11	03/31/11	
Smith, Katie	Texas	02/10/00	06/30/11	06/30/11	06/30/11	06/30/11	
Smith, Vince & Elaine	Texas	05/28/99	04/12/10	12/31/10	12/31/10	12/31/10	
Williards, May	Pennsylvania	05/14/07	03/30/08	12/31/08	09/30/08	03/30/08	12/31/08