

COMPLIANCE REVIEW - ACCOUNT SPECIFIC

Cornerstone Revolutions
Phone: 831.477.7047

Client	Account #	Acct. Type	Broker/ Dealer	Taxable	Discretionary	Proxy Voting	Family Account	Performance Based Fee	Wrap Fees
Abernathy, Charlie & Mary Jean	987654321B	JTWROS	TD Ameritrade	N	Y	Y	N	N	N
Abernathy, Charlie & Mary Jean	987654321D	Contributory IRA	TD Ameritrade	N	N	Y	N	N	N
Anderson, John	909835651B	Trust	TD Ameritrade	Y	Y	Y	N	Y	Y
Apple Financial Trust	951753654C	Trust	TD Ameritrade	N	Y	Y	N	Y	N
Apple Financial Trust	954135474G	Trust	TD Ameritrade	Y	Y	Y	N	N	N
Bass, John	123456789G	Rollover IRA	TD Ameritrade	N	N	Y	N	N	N
Bass, John	123456789H	Rollover IRA	TD Ameritrade	N	N	Y	N	N	Y
Bass, John	123456789W	JTWROS	TD Ameritrade	Y	N	Y	N	N	N
Branta, Liz	123456987N	Individual	TD Ameritrade	N	N	Y	N	N	N
Branta, Liz	654789321A	Roth IRA	TD Ameritrade	N	N	Y	N	N	Y
Conley, Adrian	987654321S	Trust		Y	Y	N	N	N	N
Conley, Laura	984165482A	SEP IRA	TD Ameritrade	N	N	Y	N	N	N
Doe, John & Jane	456789123A	Roth IRA	TD Ameritrade	N	N	N	N	N	N
Doe, John & Jane	987654321N	JTWROS	TD Ameritrade	Y	Y	Y	N	Y	N
Doe, John & Jane	987654321R	Rollover IRA	Charles Schwab	N	N	N	N	N	N
Johnson, Karen	123456789C	Individual	TD Ameritrade	N	N	N	Y	N	Y
Rose, Karen	98712354A	401(K)	Charles Schwab	N	N	N	N	N	N
Smith, Katie	123456789B	Custodial	TD Ameritrade	Y	N	Y	N	N	N
Smith, Vince & Elaine	436344324B	JTWROS	TD Ameritrade	Y	N	Y	Y	N	N
Smith, Vince & Elaine	951753654A	Rollover IRA	TD Ameritrade	N	N	N	Y	N	Y