

COMPLIANCE REVIEW BY ACCOUNT

A to Z Capital Management
Phone: 555-555-1236

As of Date: 12/31/08

Client	Account #	Acct. Type	Broker/Dealer	Inception Date	Taxable	Discretionary	Performance Based Fee	Wrap Fees	Family Acct.	Market Value
Alpha, Dr. Beta	1234567A	Roth IRA	Bernie Jackson	06/11/07	Y	Y	N	N	Y	85,397.90
Alpha, Dr. Beta	1234567B	Joint	Bernie Jackson	06/11/07	Y	Y	N	Y	Y	112,261.69
Alpha, Dr. Beta	1234567C	Individual	Bernie Jackson	10/12/06	Y	Y	Y	Y	Y	589,984.89
Apple Financial Trust	2345678A	Trust	Robert Erwin	11/17/06	Y	Y	N	Y	N	719,245.45
Bostwick, Randy	2345678B	SEP IRA	Richie Daccico	12/21/07	Y	Y	N	N	N	93,964.38
Carter, Mark and Amy	2345678C	Joint WROS	Bo Goldstein	04/01/08	Y	Y	N	N	N	44,177.60
Clem, Jack	2345678D	Simple IRA	Nancy Donaldson	09/28/08	Y	Y	Y	N	N	811,901.30
D'Amato, Lawrence	2345678E	IRA	Manal Douglas	04/24/06	Y	Y	N	Y	N	102,919.85
Ryan, Nancy	2345678F	401(k)	Thomas Clinton	08/13/07	Y	Y	N	N	Y	226,666.18
Shehade, Maisa	2345678G	Individual	Brittany Little	12/10/07	Y	Y	Y	N	Y	282,104.82
Silver, Brody	2345678H	Trust Under Will	Sally Struthers	09/30/07	Y	Y	Y	N	Y	51,542.50
Snopes, Michael	3456789A	IRA Rollover	Louis Espenoza	10/24/08	Y	N	Y	N	N	77,022.09
Snopes, Michael	3456789B	Individual	Ahmad Mustafa	10/24/08	Y	N	N	N	N	404,092.78
Truman, Darrin	456789A1	401(k) PSP	Thomas Clinton	08/16/07	Y	Y	Y	Y	Y	755,740.80
United Inc. 401(k) P.S.P.	456789A2	Trust	John Smith	06/08/07	Y	Y	N	N	N	532,732.50
Total										4,889,754.73