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 Consulting Services for the Financial Fiduciary
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Bravo Zulu helps fiduciaries follow the rules.

Poor investment returns, regardless of cause, increase complaints and lawsuits alleging fiduciary misconduct.

Anyone who is a trustee of pension plan, board member of a foundation or endowment, or trustee for a private trust is probably a fiduciary. As fiduciaries, they stand in a special relationship of trust, confidence and/or legal responsibility. It is important to remember that fiduciary liability is not determined by investment performance, but by whether a prudent process was followed.

Bravo Zulu Solutions

The best way fiduciaries can protect themselves from complaints or lawsuits is to do things right and prove it through documentation and ongoing evaluations.

Bravo Zulu can review and independently assess the current practices, identify areas that need improvement, and implement policies and procedures that put industry best practices into action.

Bravo Zulu helps fiduciaries demonstrate their process for excellence in management by assisting them with a four-step process that emphasizes continual improvement to a decision-making process.

<p>1 Organize</p> <ul style="list-style-type: none"> • Document duties and what is expected of service providers • Define, document and confirm roles and responsibilities of all parties involved • Centralize documents and safeguard them from loss, destruction or alteration 	<p>2 Formalize</p> <ul style="list-style-type: none"> • Set time horizon • Assess and define a risk level • Define expected return • Define asset classes and • Identify responsible Investments (SRI) and goals if applicable
<p>4 Monitor</p> <ul style="list-style-type: none"> • Compare performance against peer groups and objectives through reporting and analysis • Review any changes in investment decision makers • Assure that fees for investment management are reasonable • Maintain an ongoing process to review effectiveness in meeting its fiduciary responsibilities 	<p>3 Implement</p> <ul style="list-style-type: none"> • Implement tools and procedures to assure that the fiduciary continues to document use of a prudent process and strives towards fiduciary excellence • Employ a prudent due diligence process in selecting service providers • Execute the investment strategy

“It’s not whether you win or lose; it’s how you play the game.”